# This Page Is Inserted by IFW Operations and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

### IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

PE' CORON :

FAX NO.: 787-7420352

Apr. 22 2004 05:29PM P1

PATENT Attorney Docket No. 0180.0046

Serial No. 10/647,197 Filed: August 20, 2003

Title: IMMUNONANOPARTICLES
Applicants: Pardridge et al.
Group Art Unit No. n/a

Examiner: n/a

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUBMISSION OF MISSING PARTS OF APPLICATION

Sir:

In the absence of a NOTICE OF MISSING PARTS, and in light of the filing date of the above-identified application, applicants submit herewith the DECLARATION that has been duly executed by the inventors. Applicants also submit herewith a check in the amount of \$65.00 pursuant to 37 CFR 1.16(1) to cover the government fees associated with filing the DECLARATION after the filing date of the application.

Please charge any deficiency in fees or credit any overpayment to Deposit Account No. 16-2230.

Dated: April 22,2004

Respectfully submitted,

04/30/2004 SSESHE1 00000070 10647197

01 FC:2051

65.00 OP

David J. Oldendamp, Reg. 29,421
SHAPIRO & DUPONT LLP

233 Wilshire Boulevard, Suite 700

Santa Monica, California 90401 (310) 319-5411 (Telephone)

(310) 319-5401 (Facsimile)

PTO/SB/01 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

#### DECLARATION FOR UTILITY OR 0180.0046 Attorney Docket Number **DESIGN** William M. Pardridge First Named Inventor PATENT APPLICATION **COMPLETE IF KNOWN** (37 CFR 1.63) 10/647,197 Application Number August 20, 2003 Declaration Declaration Filing Date Submitted OR Submitted after initial n/a Art Unit with Initial Filing (surchage Filing (37 CFR 1.16 (e)) n/a **Examiner Name** required)

| As the below named inventor, I he  | roby doclars that:  |                             |                   |                    |               |  |  |  |  |
|--|---|-----------------------------|-------------------|--------------------|---------------|--|--|--|--|
|  | •   |                             |                   |                    |               |  |  |  |  |
| My residence, mailing address, and   | citizenship are as stated be  | elow next to my name.       |                   |                    |               |  |  |  |  |
| I believe I am the original and first in   | I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: |                             |                   |                    |               |  |  |  |  |
| IMMUNONANOPARTICLES  |   |                             |                   |                    |               |  |  |  |  |
| (Title of the Invention)   |   |                             |                   |                    |               |  |  |  |  |
| the specification of which   | ( , , , ,   | o o olo miromony            |                   |                    |               |  |  |  |  |
| is attached hereto   |   |                             |                   |                    |               |  |  |  |  |
| _  | •   |                             |                   |                    |               |  |  |  |  |
| OR   | () 00/00/00/  | 22 11-14-4 04-              | 4 A               | DOT laters attend  | .1            |  |  |  |  |
| was filed on (MM/DD/YYYY)  08/20/2003  as United States Application or PCT International   |   |                             |                   |                    | ll .          |  |  |  |  |
| <u> </u>   |   |                             |                   | <del></del>        |               |  |  |  |  |
| Application Number   | 0/647,197 and w   | as amended on (MM/DD        | YYYY)             | (if app            | licable).     |  |  |  |  |
| I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.   |   |                             |                   |                    |               |  |  |  |  |
| I acknowledge the duty to disc<br>continuation-in-part application<br>application and the national or  | ns, material informatio   | on which became availa      | able between the  | e filing date of t |               |  |  |  |  |
| I hereby claim foreign priority be   |   |                             |                   |                    |               |  |  |  |  |
| inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one  |   |                             |                   |                    |               |  |  |  |  |
| country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeders rights certificate(s), or any PCT international application having a filing date |   |                             |                   |                    |               |  |  |  |  |
| before that of the application on wh   |   | s certificate(s), or any PC | i international a | pplication naving  | a filing date |  |  |  |  |
| Prior Foreign Application  | Country   | Foreign Filing Date         | Priority          | Certified Copy     | Attached?     |  |  |  |  |
| Number(s)  | ,   | (MM/DD/YYYY)                | Not Claimed       | YES                | NO            |  |  |  |  |
|  |   | ,                           |                   |                    |               |  |  |  |  |
|  |   |                             |                   |                    |               |  |  |  |  |
|  |   |                             |                   |                    |               |  |  |  |  |
|  |   |                             |                   |                    |               |  |  |  |  |
| Additional foreign application r   | numbers are listed on a   | a supplemental priority da  | ita sheet PTO/SB  | /02B attached he   | reto:         |  |  |  |  |

PTO/SB/01 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

### **DECLARATION** — Utility or Design Patent Application

| Direct all correspondence to:   Customer Number or Bar Code Label   |                        |        |         | OR Correspondence address below |                                  |       |                  |                    |  |
|---|------------------------|--------|---------|---------------------------------|----------------------------------|-------|------------------|--------------------|--|
| Name DAVID J. OLDENKAMP, ESQ. SHAPIRO & DUPONT LLP  |                        |        |         |                                 |                                  |       |                  |                    |  |
| Address 233 WILSHIRE BOULEVARD, SUITE 700   |                        |        |         |                                 |                                  |       |                  |                    |  |
| City  | SANTA MONICA           |        |         | State CALIFORNIA                |                                  |       | <b>ZIP</b> 90401 |                    |  |
| Country   | S Telephone            |        |         | (310) 319-5411                  |                                  |       | Fax              | Fax (310) 319-5401 |  |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. |                        |        |         |                                 |                                  |       |                  |                    |  |
| NAME OF FIRST OR SOLE INVENTOR:   A petition has been filed for this unsigned inventor  |                        |        |         |                                 |                                  |       |                  |                    |  |
| Given Name<br>(first and middle [if any]) WILLIAM M.  |                        |        |         |                                 | Family Name or Surname PARDRIDGE |       |                  |                    |  |
| Inventor's<br>Signature   | hile 1                 | n. R   | unlind  | 2.J                             |                                  |       | Date 9           | 93                 |  |
| Residence:  | City PACIFIC PALISADI  | ES     | State ( | CA                              | Country                          | US    | Citizenship      | US                 |  |
| Mailing Address UCLA, WARREN HALL 13-164, 900 VETERAN AVENUE  |                        |        |         |                                 |                                  |       |                  |                    |  |
| City  | LOS ANGELES            |        | State ( | CA                              | ZIP                              | 90024 | Country          | US                 |  |
| NAME OF SECOND INVENTOR:  A petition has been filed for this unsigned inventor.   |                        |        |         |                                 |                                  |       |                  |                    |  |
| Given Name (first and middle [if any]) JEAN-CHRISTOPHE for Surname OLIVIER  |                        |        |         |                                 |                                  |       |                  |                    |  |
| Inventor's<br>Signature   |                        |        |         |                                 |                                  |       | Date             |                    |  |
| Residence:  | City POITIERS SIGNATUR | E ON S | EPARA   | TE:                             | Country                          | FR    | Citizenship      | FR                 |  |
| Mailing Address UNIVERSITY OF POITIERS, FACULTE DE MEDECINE ET DE PHARMACIE, 34 RUE DU JARDIN DES PLANTES   |                        |        |         |                                 |                                  |       |                  |                    |  |
| City  | POITIERS               |        | State   |                                 | ZIP                              | 86000 | Country          | FR                 |  |
| Additional inventors are being named on the supplemental Additional inventor(s) sheet(s) PTO/SB/02A attached hereto.  |                        |        |         |                                 |                                  |       |                  |                    |  |

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| DECLARATION — Utility or Design Patent Application |   |                                  |                       |                                  |              |                 |         |               |
|--|---|----------------------------------|-----------------------|----------------------------------|--------------|-----------------|---------|---------------|
| Direct all cor                                     | rrespondence to: Customer or Bar Co   | 1                                |                       |                                  | OR 🛭 Co      | orresponden     | ce add  | dress below   |
| Name   | DAVID J. OLDENKAMP, ESQ.  | <del></del>                      |                       |                                  |              |                 |         |               |
| Address  | SHAPIRO & DUPONT LLP<br>233 WILSHIRE BOULEVARD, SL  | IITE 700                         |                       |                                  |              |                 | •       |               |
| City   | SANTA MONICA  |                                  | State                 | CALIFO                           | RNIA         | ZIP             | 904     | 01            |
| Country  | US  | Telephone                        | (310) 3               | 19-5411                          |              | Fax             | (310    | 0) 319-5401   |
| belief are be<br>the like so r                     | clare that all statements made herei<br>elieved to be true; and further that t<br>made are punishable by fine or imp<br>lize the validity of the application or | hese statements risonment, or bo | were mad<br>th, under | le with the                      | knowledge    | that willful fa | alse st | tatements and |
| NAME OF F  | IRST OR SOLE INVENTOR:  | A petition has                   | s been file           | ed for this u                    | ınsigned inv | entor           |         |               |
|  |   |                                  |                       | Family Name or Surname PARDRIDGE |              |                 |         |               |
| Inventor's<br>Signature                            |   | CEPAR!                           | TE S                  | HEET                             |              | Date            |         |               |
| Residence:   | City PACIFIC PALISADES  | State                            | CA                    | Country                          | US           | Citizensh       | ip      | US            |
|  | ·   |                                  |                       |                                  |              |                 |         |               |